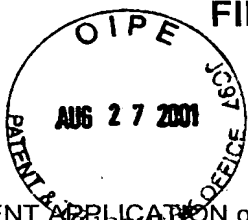


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# 3



## FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)

For Design, Provisional, or Utility Applications

PATENT  
APPLICATIONCOMPLETION Under  
Rule 53(f)In re PATENT APPLICATION of  
Inventor(s): Hirano, et al.

Attn: Application Division

Appln. No.: 09 | 884,876  
Series Code  $\uparrow$  | Serial No.  $\uparrow$ Atty.Dkt. P 0268955 |  
M# | Client Ref

Filed: June 18, 2001

Title: ID INFORMATION MANAGEMENT SYSTEM AND METHOD

Hon. Commissioner of Patents  
Washington, DC 20231

Date: August 24, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☐ Original ☒ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
- a. ☐ Abstract
  - b. #  pages of Specification (only spec. & claims)
  - c. ☐ Drawing(s) No of Sheets
  - ☐ Fig(s).

4. ☐ Letter filing formal drawing attached.

5. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-185143	06/20/2000	(2)	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, postage prepaid, in an envelope addressed to Commissioner of Patents, Washington D.C. 20231-9999 on 8/23/01

Date: August 23, 2001By: [Signature]

9. \_\_\_\_\_ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_
10. Small Entity Status ☐ is Not claimed ☒ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
13. Basic Filing Fee .....				Design Application Not Design Application	\$320/\$160 \$710/\$355	106/26 101/201
14. Total Effective Claims	37	minus 20 =	17	x \$18/\$9	+153	103/203
15. Independent Claims	14	minus 3 =	11	x \$80/\$40	+440	102/202
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a <u>reissue</u> application)				\$270/\$135	+0	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+65	105/205
18. <b>FILING FEE ENCLOSED =</b>				<b>\$1013</b>		
19. Original due date: October 15, 2001						
20. Petition is hereby made to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached				(1 mo) \$110/\$55 = (2mos) \$390/\$195 = (3mos) \$890/\$445 = (4mos) \$1390/\$695 =	+0	115/215 116/216 117/217 118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee. ....				\$40	+0	581
23. Petition Fee for				\$130	+0	
24. <b>TOTAL FEE ENCLOSED =</b>				<b>\$1013</b>		

Our Deposit Account No. 03-3975

Our Order No. 042279

0268955

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP  
Intellectual Property Group**

50 Fremont Street

By Atty: **Karry W. Wang**

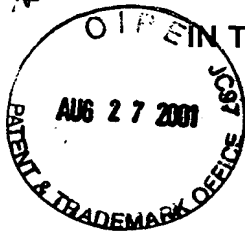
Reg. No. **Limited  
Recognition  
Under 37 C.F.R.  
\$10.9(b)**

P. O. Box 7880  
San Francisco, CA 94120-7880  
Tel: (415) 983-1000  
Atty/Sec: KWW/PDG

Sig:

*Karry W. Wang*

Fax: (415) 983-1200  
Tel: (415) 983-1280



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2

#3

## FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)  
For Design, Provisional, or Utility ApplicationsPATENT  
APPLICATIONCOMPLETION Under  
Rule 53(f)

In re PATENT APPLICATION of

Attn: Application Division

Inventor(s): Hirano, et al.

Appln. No.: 09

884,876

Atty.Dkt.

P

0268955

Series Code ↑

Serial No. ↑

M#

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Filed: June 18, 2001

Title: ID INFORMATION MANAGEMENT SYSTEM AND METHOD

Hon. Commissioner of Patents  
Washington, DC 20231

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1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☐ Original ☒ Facsimile/Copy

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"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

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- a. ☐ Abstract
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- ☐ Fig(s).

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Application No.	Filing Date	Application No.	Filing Date
(1)	2000-185143	(2)	

## CERTIFICATE OF MAILING

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Date: August 23, 2001By: [Signature]



Completion Under Rule 53(f)

9. \_\_\_\_\_ (No.) Certified copy (copies) \_\_\_\_\_ ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ filed on \_\_\_\_\_

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11. ☐ Attached:

12. ☐ Preliminary Amendment:

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17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+65	105/205
18. FILING FEE ENCLOSED =				\$1013		
19. <u>Original due date:</u> October 15, 2001						
20. <b>Petition is hereby made</b> to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached				(1 mo)	\$110/\$55 =	115/215
				(2mos)	\$390/\$195 =	116/216
				(3mos)	\$890/\$445 =	117/217
				(4mos)	\$1390/\$695 =	118/218
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23. Petition Fee for				\$130	+0	
24. TOTAL FEE ENCLOSED =				\$1013		

Our Deposit Account No. 03-3975

Our Order No. 042279

0268955

C#

M#

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**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

50 Fremont Street

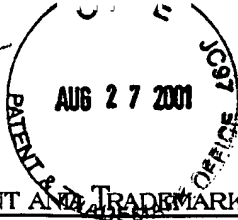
By Atty: Karry W. Wang

Reg. No. Limited  
Recognition  
Under 37 C.F.R.  
\$10.9(b)

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Atty/Sec: KWW/PDG

Sig:

Fax: (415) 983-1200  
Tel: (415) 983-1280



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/884,876	06/18/2001	Yoichiro Hirano	P 0268955

CONFIRMATION NO. 1890

## FORMALITIES LETTER



\*OC000000006425769\*

Pillsbury Winthrop LLP  
Intellectual Property Group  
Calendar/Docket Dept.  
50 Fremont Street  
San Francisco, CA 94105

Date Mailed: 08/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$593.
  - \$153 for 17 total claims over 20.
  - \$440 for 11 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1013.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/29/2001 TLWJ11 00000018 09884876  
01 FC:201 355.00 OP  
02 FC:203 153.00 OP  
03 FC:202 440.00 OP  
04 FC:205 65.00 OP



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/884,876	06/18/2001	2163	0.00	P 0268955	17	37	14

CONFIRMATION NO. 1890

## FILING RECEIPT



\*OC000000006425768\*

 Pillsbury Winthrop LLP  
 Intellectual Property Group  
 Calendar/Docket Dept.  
 50 Fremont Street  
 San Francisco, CA 94105

RECEIVED

AUG 20 2001

PILLSBURY WINTHROP LLP/SF.

Date Mailed: 08/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Yoshiyuki Shigeyuki  
 Yoichiro Hirano, Kanagawa-ken, JAPAN;  
 Shigeyuki Kitahara, Tokyo, JAPAN;  
 Yukiyasu Hirose, Kanagawa-ken, JAPAN;  
 Kentaro Eshima, Tokyo, JAPAN;  
 Kentato

Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2000-185143 06/20/2000

If Required, Foreign Filing License Granted 08/14/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

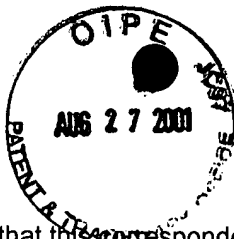
Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

ID information management system and method



Receipt #  
PATENT #

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service, postage prepaid, in an envelope addressed to Customer Service Center, Initial Patent Examination Center, Commissioner of Patents, Washington D.C. 20231 on August 23, 2001

August 23, 2001

*Patricia Gamble*  
Patricia Gamble

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

ATTENTION: Office of Initial Patent Examination  
Customer Service Center

Inventors: Hirano et al.

Appln. No.: 09 | 884,876  
Series Code ↑ | Serial No. ↑

Filed June 18, 2001

Title: ID INFORMATION MANAGEMENT SYSTEM AND METHOD

Date: August 23, 2001

Commissioner of Patents  
Washington, D.C. 202031

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data which is

☒ incorrectly entered and/or omitted

**Error in**

**Correct data**

1. ☒ Applicant's Name

1. Yoshiyuki Kitahara  
Kentato Ejima

2. Applicant's Address

2.

3. It is understood that the PTO no longer charges for correcting filing receipts even when the error is applicants' mistake, but if that is incorrect and a fee is due for this correction please charge our Deposit Account No. 03-3975 under Order No.042279-0268955

Pillsbury Winthrop LLP  
Intellectual Property Group

50 Fremont Street

By Atty: Karry W. Wang

Reg. No. Limited  
Recognition  
Under 37  
C.F.R.  
\$10.9(b)

Fifth Floor  
San Francisco, CA 94105-2230  
Tel: (415) 983-1000  
Atty/Sec: KWW:pg

Sig:

*Karry W. Wang*

Fax: (415) 983-1200  
Tel: (415) 983-1280

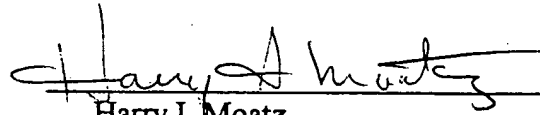
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATES PATENT AND TRADEMARK OFFICE**

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Karry W. Wang is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of the Pillsbury Madison & Sutro LLP law firm to prepare and prosecute patent applications wherein the patent applicant is the client of the Pillsbury Madison & Sutro LLP law firm, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Pillsbury Madison & Sutro LLP law firm. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Karry W. Wang ceases to lawfully reside in the United States, (ii) Karry W. Wang's employment with the Pillsbury Madison & Sutro LLP law firm ceases or is terminated, or (iii) Karry W. Wang ceases to remain or reside in the United States, authorized to be employed by an Employment Authorization Card issued pursuant to 8 CFR § 274a.12(c)(9).

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

**Expires: November 23, 2001**

  
Harry I. Moatz  
Director of Enrollment and Discipline